UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD **CHARGE AGAINST EMPLOYER**

DU NUT WRITE IN THIS SPACE				
Case	Date Filed			
01-CA-270747	12/28/2020			

INSTRUCTIONS:				
File an original with NLRS Regional Director for the region i	in which the alleged untain lab	or practice occi	irred or is accurring,	
1. EMP	LOYER AGAINST WHO	M CHARGE	IS BROUGHT	
a. Name of Employer	U. THE IND	617-353-4481		
Boston University		c. Cell No.		
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OF Duiels Chroat Deaton MA 00015	ludi Duraga Eg		f. Fox No.	
25 Buick Street, Boston, MA 02215	Judi Burgess, Estof Labor Relation)! g. e-\$//±8	jburgess@bu.edu
	Ci Labor Floration	U		jourgesseou.equ
i. Type of Establishment (factory, mine, wholesafer,	ј. кветију рлпскрај ргоси	uct of service	n. num	per or workers employed
etc. University	Higher Education	l _v		Unknown
labor practices are unfair practices affecting commerce within the meaning of the Act. 2. Basis of the Charge (set forth a clear and concise statement of the facts constituting the alleged unfair labor practice. 2. Do not after July 1, 2020 the University has refused to bargain in good faith by unitaterally changing a contractual requirement to make contributions to the retirement accounts of pargaining unit officers represented by the Charging Party. 2. Note: i, (b) (c), (b) (7)(c), by my signature at the bottom of this ULP request and authorize you to send all notices pertaining to this ULP directly to the attention of ACOP's legal counsel: Alan J. McDonald., McDonald Lamond Canzoneri, 352 (umplike Road, Suite 210, Southborough, MA 01772)				
 Full name of party filing charge (if labor organization, Boston University Police Patrolman's Ass 			um. 45. Tel. N 46. Cell N	
a. Address (street and number, city, State, and ZIP Co	de)		F1 50 100 11	(3) (3); (3) (1)(3)
American Coalition of Public Safet			4d. Fax N 4e. e-Ma	
				©:@buppa.org
47 Harvard Avenue, #82, Allston, I			-	
5. Full name of national or international labor organization organization)	on of which it is an affiliate or	constituent er	iil (lo be filled in when	charge is filed by a labor
declare that I have read the above charge (b) (6) , (b) (7) (c)	ge)	nts are true	to the best of my k (b) (6), (b) (7 (Title, if any) b) (6), (b) (7)(C)	()(C)
(b) (6), (b) $(7)(C)_{f}^{(b)}$ (b) (6) , (b) $(7)(C)$	0	office, if any, Co	ell No.	
Boston University Police Patrolman's (ACOPS Local 1)	Association _F	ax No.		
47 Harvard Avenue, #82	e.	-Mall (b) (6), (b) (7)(C) @bup	oa.org
Allston, MA 02134	_		r 28, 2020	
(Address)	(DSIS)			



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 1 Thomas P. O'Neill Fed Bldg. 10 Causeway Street, Room 601 Boston, MA 02222-1001

Agency Website: www.nlrb.gov Telephone: (617) 565-6700 Fax: (617) 565-6725 Download NLRB Mobile App

December 29, 2020

JUDI BURGESS DIRECTOR OF LABOR RELATIONS BOSTON UNIVERSITY 25 BUICK STREET BOSTON, MA 02215

> Re: BOSTON UNIVERSITY Case 01-CA-270747

Dear Ms. Burgess:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney MEREDITH B. GARRY whose telephone number is (857) 317-7798. If this Board agent is not available, you may contact Supervisory Examiner JESSICA FOLEY whose telephone number is (857) 317-7786.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence:</u> All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

PAUL J. MURPHY Acting Regional Director

PJM/rg

Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

	ed 3/21/2011	NATIONAL LABOR REL	ATIONS	BOARD			
	QUESTIONNAIRE ON COMMERCE INFORMATION						
Please read carefully, answer all applicable items, and return to the NLRB Office. If additional space is required, please add a page and identify item number.							
CASE NAME CASE NUMBER							
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I. EX	ACT LEGAL TITLE OF ENTITY	(As filed with State and/or stated in leg	al docum	ents forming entity)			
2. 1	TYPE OF ENTITY						
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5. IF	A SOLE PROPRIETORSHIP, FU	LL NAME AND ADDRESS OF PROP	RIETOR				
4							
6. BI	RIEFLY DESCRIBE THE NATUR	E OF YOUR OPERATIONS (Products	handled o	r manufactured, or nature of services perf	ormed).		
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PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.

UNITED STATES OF AMERICA

BEFORE THE NATIONAL LABOR RELATIONS BOARD

R	O	S	ΓC	1]	111	JΈ	R	SI	IΤ\	7

Charged Party

and

Case 01-CA-270747

Robert Gaffney, Designated Agent of NLRB

BOSTON UNIVERSITY POLICE PATROLMAN'S ASSOCIATION

Charging Party

AFFIDAVIT OF SERVICE OF CHARGE AGAINST EMPLOYER

I, the undersigned employee of the National Labor Relations Board, state under oath that on December 29, 2020, I served the above-entitled document(s) by post-paid regular mail upon the following persons, addressed to them at the following addresses:

JUDI BURGESS DIRECTOR OF LABOR RELATIONS BOSTON UNIVERSITY 25 BUICK STREET BOSTON, MA 02215

December 29, 2020

- ,	3
Date	Name
	/S/ Robert Gaffney
	Signature

(ACOPS Local 1)

Boston University Police Patrolman's Association

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

and Boston University	CASE 01-CA-270747					
REGIONAL DIRECTOR EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570					
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTAT Boston University Police Patrolman's Association (ACOPS Loc IN THE ABOVE-CAPTIONED MATTER.						
CHECK THE APPROPRIATE BOX(ES) BELOW: REPRESENTATIVE IS AN ATTORNEY						
IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE TO CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY OF DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMEN CASEHANDLING MANUAL.	ADDITION TO THOSE DESCRIBED BELOW, THIS WILL RECEIVE ONLY COPIES OF CERTAIN					
(REPRESENTATIVE INFOR	MATION)					
Alan J. McDonald						
MAILING ADDRESS: 352 Turnpike Rd, Suite 210, Southborough	n, MA 01772					
E-MAIL ADDRESS: amcdonald@masslaborlawyers.com						
OFFICE TELEPHONE NUMBER: 508-485-6600						
CELL PHONE NUMBER: SIGNATURE: (Please sign in int)						
DATE: (Fledse sign in ling.)						

¹ IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

(ACOPS Local 1)

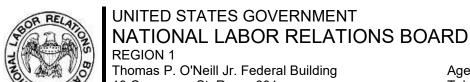
Boston University Police Patrolman's Association

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

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(REPRESENTATIVE INFORM	MATION)				
Kristen A. Barnes					
MAILING ADDRESS: 352 Turnpike Rd, Suite 210, Southborough	, MA 01772				
E-MAIL ADDRESS: kbarnes@masslaborlawyers.com					
OFFICE TELEPHONE NUMBER: 508-485-6600					
CELL PHONE NUMBER: 508-485-4477					
SIGNATURE: C. (Please sign in ink.)					
DATE: (Please sign in ink)					
, and the second					

¹ IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.



10 Causeway St, Room 601 Telephone: (617)565-6700 Boston, MA 02222-1001 Fax: (617)565-6725

Agency Website: www.nlrb.gov

March 18, 2021

Alan J. McDonald, Esq. Kristen A. Barnes, Atty. McDonald, Lamond & Canzoneri 352 Turnpike Road, Suite 210 Southborough, MA 01772-1756

> **BOSTON UNIVERSITY** Re: Case 01-CA-270747

Dear Mr. McDonald and Ms. Barnes:

The Region has carefully considered the charge alleging that the Employer violated the National Labor Relations Act. As explained below, I have decided that further proceedings on the charge should be handled in accordance with the deferral policy of the National Labor Relations Board as set forth in Collyer Insulated Wire, 192 NLRB 837 (1971), and United Technologies Corp., 268 NLRB 557 (1984). This letter explains that deferral policy, the reasons for my decision to defer further processing of the charge, and the Charging Party's right to appeal my decision.

Deferral Policy: The Board's deferral policy provides that the Board will postpone making a final determination on a charge when a grievance involving the same issue can be processed under the grievance/arbitration provision of the applicable contract. This policy is partially based on the preference that the parties use their contractual grievance procedure to achieve a prompt, fair, and effective settlement of their disputes. Therefore, if an employer agrees to waive contractual time limits and process the related grievance through arbitration if necessary, the Board's Regional Office will defer the charge.

Decision to Defer: Based on our investigation, I am deferring further proceedings on the charge in this matter to the grievance/arbitration process for the following reasons:

- 1. The Employer and Union have a collective-bargaining agreement currently in effect that provides for final and binding arbitration.
- 2. The allegation that the Employer unlawfully unilaterally changed its practice of contributing to bargaining unit member's pensions is encompassed by the terms of the collective-bargaining agreement.
- 3. The Employer is willing to process a grievance concerning the issues in the charge, and will arbitrate the grievance if necessary. The Employer has also agreed to

waive any time limitations in order to ensure that the arbitrator addresses the merits of the dispute.

4. Since the issues in the charge appear to be covered by provisions of the collective-bargaining agreement, it is likely that the issues may be resolved through the grievance/arbitration procedure.

Further Processing of the Charge: As explained below, while the charge is deferred, the Regional office will monitor the processing of the grievance and, under certain circumstances, will resume processing of the charge.

Charging Party's Obligation: Under the Board's Collyer deferral policy, the Charging Party has an affirmative obligation to file a grievance, if a grievance has not already been filed. If the Charging Party fails either to promptly submit the grievance to the grievance/arbitration process or declines to have the grievance arbitrated if it is not resolved, I may dismiss the charge.

Charged Party's Conduct: If the Charged Party prevents or impedes resolution of the grievance, raises a defense that the grievance is untimely filed, or refuses to arbitrate the grievance, I will revoke deferral and resume processing of the charge.

Monitoring the Dispute: Approximately every 90 days, the Regional Office will ask the parties about the status of this dispute to determine if the dispute has been resolved and if continued deferral is appropriate. However, at any time, a party may present evidence and request dismissal of the charge, continued deferral of the charge, or issuance of a complaint.

Notice to Arbitrator Form: If the grievance is submitted to an arbitrator, please sign and submit to the arbitrator the enclosed "Notice to Arbitrator" form to ensure that the Region receives a copy of an arbitration award when the arbitrator sends the award to the parties.

Review of Arbitrator's Award or Settlement: If the grievance is arbitrated or settled, the Charging Party may ask the Board to review the arbitrator's award or settlement. The request must be in writing and addressed to me. If the request concerns an arbitrator's award, the request should analyze whether the arbitration process was fair and regular, whether the unfair labor practice allegations in the charge were considered by the arbitrator, and whether the award is consistent with the Act. Further guidance on this review is provided in Spielberg Manufacturing Company, 112 NLRB 1080 (1955) and Olin Corp., 268 NLRB 573 (1984). If the request concerns a grievance settlement, see Alpha Beta, 273 NLRB 1546 (1985). These Board decisions are available on our website, www.nlrb.gov.

Change in Standards if Parties Agree to Submit Statutory Issue to Arbitrator: If during the processing of the grievance the parties agree to authorize the arbitrator to decide the statutory issue, please advise me in writing.

Charging Party's Right to Appeal: The Charging Party may appeal my decision to the General Counsel of the National Labor Relations Board, through the Office of Appeals.

Means of Filing: You must file your appeal electronically or provide a written statement explaining why electronic submission is not possible or feasible (Written instructions for the NLRB's E-Filing system and the Terms and Conditions of the NLRB's E-Filing policy are available at www.nlrb.gov. See User Guide. A video demonstration which provides step-by-step instructions and frequently asked questions are also available at www.nlrb.gov. If you require additional assistance with E-Filing, please contact e-Filing@nlrb.gov.

You are encouraged to also submit a complete statement of the facts and reasons why you believe my decision was incorrect. If you cannot file electronically, please send the appeal and your written explanation of why you cannot file electronically to the **General Counsel** at the **National Labor Relations Board, Attn: Office of Appeals, 1015 Half Street SE, Washington, DC 20570-0001**. Unless filed electronically, a copy of the appeal should also be sent to me.

The appeal MAY NOT be filed by fax or email. The Office of Appeals will not process faxed or emailed appeals.

Appeal Due Date: The appeal is due on April 1, 2021. If the appeal is filed electronically, the transmission of the entire document through the Agency's website must be completed no later than 11:59 p.m. Eastern Time on the due date. If filing by mail or by delivery service an appeal will be found to be timely filed if it is postmarked or given to a delivery service no later than March 31, 2021. If an appeal is postmarked or given to a delivery service on the due date, it will be rejected as untimely. If hand delivered, an appeal must be received by the General Counsel in Washington D.C. by 5:00 p.m. Eastern Time on the appeal due date. If an appeal is not submitted in accordance with this paragraph, it will be rejected.

Extension of Time to File Appeal: The General Counsel may allow additional time to file the appeal if the Charging Party provides a good reason for doing so and the request for an extension of time is **received on or before April 1, 2021.** The request may be filed electronically through the *E-File Documents* link on our website www.nlrb.gov, by fax to (202)273-4283, by mail, or by delivery service. The General Counsel will not consider any request for an extension of time to file an appeal received after April 1, 2021, **even if it is postmarked or given to the delivery service before the due date**. Unless filed electronically, a copy of the extension of time should also be sent to me.

Confidentiality: We will not honor requests to limit our use of appeal statements or evidence. Upon a request under the Freedom of Information Act (FOIA) by a party during the

processing of an appeal, the Agency's FOIA Branch discloses appeal statements, redacted for personal privacy, confidential source protection, or other applicable FOIA exemptions. In the event the appeal is sustained, any statement or material submitted may be introduced as evidence at a hearing before an administrative law judge. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Very truly yours,

Paul J. Murphy

Acting Regional Director

Paul J. Mary

Enclosures

cc:

(b) (6), (b) (7)(C), (b) (6), (b) (7)(C)

Boston University Police Patrolman's Association Local 1, American Coalition of Public Safety 47 Harvard Avenue, #82 Allston, MA 02134

Judi Burgess, Director of Labor Relations Boston University 25 Buick Street Boston, MA 02215

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD NOTICE TO ARBITRATOR

TO:					
	(Arbitra	ator)			
_	(Addre	ss)			
				NLRB Case Number 01-CA-270747	
NLRB C	Case Name:	BOSTON UNI	VERSITY		
National I the NLRE proceed to So arbitration Regional	Labor Relation Be charge in the parbitration be that the Actimation, the undersign Director, Regill, Boston, MA	s Board to admini above matter. Further you in order g Regional Direct and hereby request on 01, Thomas P.	istratively derther, both perfect to resolve the tor can be prested that a cope O'Neill Jr. I	Regional Director of Region (after to arbitration the further planties to the NLRB case have the dispute underlying the NLR comptly informed of the status by of the arbitration award be selected Building, 10 Causeway that it is sent to the parties in	orocessing of agreed to RB charge. of the sent to Acting y Street,
			-	(Name)	<u> </u>
			_	(Title)	

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

APPEAL FORM

To: General Counsel Attn: Office of Appeals National Labor Relations Board 1015 Half Street SE	Date:
Washington, DC 20570-0001	
I am appealing the action of the Region	al Director in deferring the charge in
Boston University	
Case Name(s).	
01-CA-270747	
Case No(s). (If more than one case number, inctaken.)	clude all case numbers in which appeal is
	(Signature)

E-FILING TO APPEALS

- 1. **Extension of Time**: This document is used when the Charging Party is asking for more time to efile an Appeal.
 - If an Extension of Time is e-filed, and there are additional documents to be e-filed simultaneously with it, please e-file those documents under the selection **Correspondence**.
 - After an Extension of Time has already been e-filed, any **additional** materials to add to the Extension of Time should be e-filed under **Correspondence**.
- 2. **File an Appeal**: If the Charging Party does not agree with the Region's decision on the case, an Appeal can be e-filed.
 - Only one (1) Appeal can be e-filed to each determination in the Region's decision letter that is received.
 - After an Appeal has been e-filed, any **additional** materials to add to the Appeal should be e-filed under **Correspondence**.
- 3. **Notice of Appearance**: Either party can e-file a Notice of Appearance if there is a new counsel representing one side or a different counsel.
 - This document is only e-filed with the Office of Appeals after a decision has been made by the Region.
 - This document can be e-filed **before** an Appeal is e-filed.
- 4. **Correspondence**: Parties will **select** Correspondence when adding documents or supplementing the Appeal or Extension of Time.
 - Correspondence is used to e-file documents **after** an **Extension of Time, Appeal** or **Notice of Appearance** has been e-filed.
- 5. **Position Statement**: The Charging Party or Charged Party may e-file a Position Statement.
 - The Charging Party will e-file this document as a supplement of the Appeal.
 - The Charged Party will specifically file one to support the Region's decision.
 - This document should be e-filed after an Extension of Time, Appeal or Notice of Appearance has been e-filed.
- 6. **Withdrawal Request**: If the Charging Party decides to no longer pursue their appeal, he/she can e-file a Withdrawal Request to the Office of Appeals.
 - This document should be e-Filed after an Extension of Time, Appeal or Notice of Appearance has been e-filed.



7. The selections of **Evidence** or **Other** should no longer be used.